

COVID-19 SAT

Name of service:

Regulatory outcomes

1. Has an enforcement notice been issued to this service?

- Yes, current
- Yes, up to two years ago
- No

2. How many concerns or complaints have been reported to the Care Inspectorate during the COVID-19 pandemic?

- 0
- 1-2
- 3-4
- 5+

3. What is the potential impact of any concerns or complaints received during the COVID-19 pandemic? Case holder in consultation with triage team

- N/A no complaints
- Limited impact on care
- Serious outcome limited to an individual
- Evidence of systemic failure with potential poor outcomes across the service

4. During the COVID-19 pandemic, have there been any changes to service delivery?

- N/A no variations
- Yes - Limited impact on service provision (for example minor changes to service delivery / aims and objectives / temporary conditions on registration certificate)
- Yes - Major change to service provision (for example significant changes to service delivery / aims and objectives / formal variations required)

5. Are there any requirements not met at or since the last regulatory/scrutiny activity (for example from the last inspection or a complaint)?

- No
- Yes

6. Have there been any protection concerns during the COVID-19 pandemic?

No

Yes, and the service responded appropriately and made relevant notifications

Yes, and the service did not make appropriate notifications or required prompting

Yes, and the service did not respond appropriately

7. Over the past 24 months, what is the evaluation history for the service?

Use the lowest grades for each inspection / scrutiny activity

Grades of 6

Grades of 4/5's

Inconsistent grades or evidence of lack of sustained improvement

Grades of 3 for more than three consecutive inspections

Grades of 1 or 2

Not yet inspected

Leadership and staffing

8. Have there been any changes of manager during the COVID-19 pandemic?

No, or not applicable

Yes - one change of manager

Yes - more than one and there is currently no manager **or** a temporary manager in place

9. Have there been any staff misconduct notifications within the past 6 months

No

Yes, and the service responded appropriately and made relevant notifications

Yes, and the service did not make appropriate notifications or required prompting

Yes, and the service did not respond appropriately

10. Does the service have skilled and appropriate staff in sufficient numbers to meet current care needs?

Yes - no concerns

Yes – some issues but managing with use of bank/relief staff from within own organisation

No - reliance on NHS/HSCP staff/ reliance on agency staff/

Notifications

11. In relation to specific COVID-19 notifications, is the service making all relevant notifications?

- Yes
- Yes, some or requires prompting
- No

12. Please indicate the current status of the service in relation to outbreaks of COVID-19.

- No outbreaks recorded
- No current outbreak (has had a previous outbreak)
- Current outbreak (no previous outbreaks)
- New outbreak (has had a previous outbreak)

13. What is the capacity of the service?

- Less than 10 places (or beds)
- Between 11-59 places
- More than 60 places

14. What is the outcome of any oversight visits or the current public health risk assessment rating (if available)?

- Not yet assessed/not available
- Green
- Amber
- Red

15. If the service is a new registration, has it been previously registered under a different legal entity?

- N/A
- No
- Yes

16. If yes, are there any concerns/outstanding requirements/areas for improvement from the cancelled service?

- No or N/A
- Yes – Minimal impact on people using the service
- Yes – Significant impact on people using the service

**17.17. Has there been a change in provider or ownership during COVID-19?
(not a new registration but, for example whole share buy outs)**

- No
- Yes

SAT Score

The SAT Score is (accumulated score to go here) which is Level [banding level to go here]

The above COVID SAT score is the scrutiny assessment score and level. It will be used by our inspectors to determine the appropriate level of activity needed to regulate your service during the Covid-19 pandemic

Low banding

Medium banding

High banding

Are there additional factors that should change the COVID SAT banding?

Yes

No

If yes, what do you wish to change the banding to?

High

Medium

Low

Comments box (has to be completed if SAT banding has been changed)

comments

Please explain why you are suggesting the SAT banding be changed.

Low banding – service assessed as requiring contact monthly, ensure up to date case holding information available to provider to ask for additional support

Medium banding – service assessed as requiring contact every two weeks, consider supportive virtual visits

High banding – service assessed as requiring weekly contact. Complete decision recording and poet tools and discuss with the team manager to identify next steps. Inspection may be required.